

Audit Committee Action Sheet – 25 September 2023

Action number	Item/report	Action	Responsible officer(s)	Action taken / progress
1	5 – Action Sheet	The Officer response <i>'The next report will now reflect presenting the CRR risks in ascending order of the reference number as suggested'</i> did not reflect the Action request of <i>'To review the suggestion that the Corporate Risk Register would be easier to analyse if the risk was shown always decreasing'</i>	Joachim Adenusi	The next report will now reflect presenting the CRR risks in descending order of the reference number as suggested.
2	8 – ISA260 GT report	Page 38 – IT Risks – No Management response on <i>'Lack of process for proactively reviewing IT service provider assurance reports.'</i>	Tony Whitlock	Response from our IT Support Manager: This audit action has been brought to the attention of Head of IT Operations and Head of Information Assurance. All the relevant assurance information has been collated from the suppliers of our hosted solutions and these have been passed to Info Sec to ask them to review and feedback supplying them a copy of the findings from Grant Thornton. Info Sec have already reviewed and approved the assurance reports supplied by MRI for the HR/Payroll system.
3	8 – ISA260 GT report	Page 42 – cash reconciliation – to provide the numbers of items and monetary value	Tony Whitlock	The cash reconciliation works on a matching concept, matching items in the cash book to the bank statement. Items recorded in the cashbook on 1 April will be shown on the bank statement for 31 March, hence a significant number of unmatched items at 31 March. As at the end of March there were 1281 unmatched items in the cashbook, value £23.9m and 1601 items, value £16.8m on the statement. By the end of April there were 25 items dated 1 April remaining, value £710k.

4	8 – ISA260 GT report	What is the WECA grant for?	Tony Whitlock	This relates to the 20/21 accounts and was a central government grant passported via WECA. The grant was for the Go Ultralow City Scheme which was to promote electric and other ultralow energy emission vehicles.
5	10 – Appendix 2	To provide the Committee with further information regarding Guidant (our agency worker supplier), particularly around ethics and modern slavery, etc	James Brereton	<p>Information below circulated to the Committee 28/9</p> <p>EDI statement – links to Guidant’s parent company master version https://www.impellam.com/edi-statement</p> <p>Modern slavery – the newest version is being updated, however, please see the current Impellam version: https://www.impellam.com/modern-slavery-act</p>